## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s)

Guillermo J. Tearney et al.

Serial No.

10/551,735

Filed

September 29, 2005

Entitled

SPECKLE REDUCTION IN OPTICAL COHERENCE

TOMOGRAPHY BY PATH LENGTH ENCODED ANGULAR

**COMPOUNDING** 

Group Art Unit

To be determined

Examiner

To be determined

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 I hereby certify that this document is being sent via First Class U. S. mail addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on this day of November 17, 2005.

(Signature)

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the documents listed on the attached Form PTO 1449, and respectfully request that the listed documents be considered by the Examiner and made of record in the above-captioned application. Copies of the United States patent references listed on the Form PTO-1449 are not enclosed, but the articles are enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed documents are material or constitute "prior art." If the Examiner applies the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under

036217/US/2 - 475387-00191 PATENT

United States law, applicants reserve the right to present to the Office the relevant facts and law

regarding the appropriate status of the documents.

Applicants further reserve the right to take appropriate action to establish the

patentability of the disclosed invention over the listed documents, should the documents be

applied against the claims of the present application.

This submission is being filed before any action by the U.S. Patent and

Trademark Office on the merits. Therefore, applicants do not believe that any fee is due in

connection with the submission of this paper. However, if any fee is due, or if any overpayment

has been made, the Commissioner is authorized to charge any such fee or credit any

overpayment, to our Deposit Account No. 50-2054.

Respectfully submitted,

DORSEY & WHITNEY, LLP

Gary Abelev

PTO Reg. No. 40,479

Attorneys for Applicants

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Page 1 of 7 Form PTO-1449 U.S. Department of Commerce Atty. Docket No. Serial No. (REV. 2-82) Patent and Trademark Office 036217/US/2 - 475387-10/551,735 00191 INFORMATION DISCLOSURE STATEMENT BY APPLICANT Applicant(s) (Use several sheets if necessary) Guillermo J. Tearney Filing Date Group September 29, 2005 To be assigned **U.S. PATENT DOCUMENTS** Filing Date Cla Date Subclass Document No. \*Exam. if Appropriate Name SS Init. January 20, 1998 Essenpreis et al. 0 5 8 0 7 2 6 September 15, 1998 Benaron et al. 1 2 September 14, 1999 Winston et al. 5 9 5 1 4 8 2 November 9, 1999 Alfano et al. 5 9 8 3 5 1 October 17, 2000 Zavislan 3 0 1 0 6 1 4 9 3 6 6 February 27, 2001 Winston et al. 6 1 3 0 8 0 9 2 October 23, 2001 Hoyns 6 9 3 1 2 May 21, 2002 Hoyns 6 3 3 3 9 Sievert, Jr. et al. 9 4 6 4 May 28, 2002 6 September 3, 2002 Ostrovsky 4 4 5 9 4 4 6 6 4 6 3 3 1 3 October 8, 2002 Winston et al. 5 2 0 3 9 July 6, 1999 0 Farahi et al.

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OTHER DOCUMENTS (including Author, Title Date, Pertinent Pages, Etc.)

De Boer, Johannes F. et al., "Review of Polarization Sensitive Optical Coherence Tomography and Stokes Vector Determination," Journal of Biomedical Optics, Vol. 7, No. 3, July 2002, pages 359-371

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<sup>\*</sup> Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Page 2 of 7 Form PTO-1449 U.S. Department of Commerce Atty. Docket No. Serial No. (REV. 2-82) Patent and Trademark Office 036217/US/2 - 475387-10/551,735 00191 INFORMATION DISCLOSURE STATEMENT **BY APPLICANT** Applicant(s) (Use several sheets if necessary) Guillermo J. Tearney Filing Date Group September 29, 2005 To be assigned Park, B. Hyle et al., "In Vivo Burn Depth Determination by High-Speed Fiber-Based Polarization Sensitive Optical Coherence Tomography," Journal of Biomedical Optics, Vol. 6 No. 4, October 2001, pages 474-479 Roth, Jonathan E. et al., "Simplified Method for Polarization-Sensitive Optical Coherence Tomography," Optics Letters, Vol. 26, No. 14, July 15, 2001, pages 1069-1071 Hitzenberger, Christopher K. et al., "Measurement and Imaging of Birefringence and Optic Axis Orientation by Phase Resolved Polarization Sensitive Optical Coherence Tomography," Optics Express, Vol. 9, No. 13, December 17, 2001, pages 780-790 Wang, Xueding et al., "Propagation of Polarized Light in Birefringent Turbid Media: Time-Resolved Simulations," Optical Imaging Laboratory, Biomedical Engineering Program, Texas **A&M** University Wong, Brian J.F. et al., "Optical Coherence Tomography of the Rat Cochlea," Journal of Biomedical Optics, Vol. 5, No. 4, October 2000, pages 367-370 Yao, Gang et al., "Propagation of Polarized Light in Turbid Media: Simulated Animation Sequences," Optics Express, Vol. 7, No. 5, August 28, 2000, pages 198-203 Wang, Xiao-Jun et al., "Characterization of Dentin and Enamel by Use of Optical Coherence Tomography," Applied Optics, Vol. 38, No. 10, April 1, 1999, pages 2092-2096 De Boer, Johannes F. et al., "Determination of the Depth-Resolved Stokes Parameters of Light Backscattered from Turbid Media by use of Polarization-Sensitive Optical Coherence Tomography," Optics Letters, Vol. 24, No. 5, March 1, 1999, pages 300-302 Ducros, Mathieu G. et al., "Polarization Sensitive Optical Coherence Tomography of the Rabbit Eye," IEEE Journal of Selected Topics in Quantum Electronics, Vol. 5, No. 4, July/August 1999, pages 1159-1167 Groner, Warren et al., "Orthogonal Polarization Spectral Imaging: A New Method for Study of the Microcirculation," Nature Medicine Inc., Vol. 5 No. 10, October 1999, pages 1209-1213 De Boer, Johannes F. et al., "Polarization Effects in Optical Coherence Tomography of Various Viological Tissues," IEEE Journal of Selected Topics in Quantum Electronics, Vol. 5, No. 4, July/August 1999, pages 1200-1204 Yao, Gang et al., "Two-Dimensional Depth-Resolved Mueller Matrix Characterization of Biological Tissue by Optical Coherence Tomography," Optics Letters, April 15, 1999, Vol. 24,

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Page 4 of 7 Form PTO-1449 U.S. Department of Commerce Atty. Docket No. Serial No. (REV. 2-82) Patent and Trademark Office 036217/US/2 - 475387-10/551,735 00191 INFORMATION DISCLOSURE STATEMENT BY APPLICANT Applicant(s) (Use several sheets if necessary) Guillermo J. Tearney Filing Date Group September 29, 2005 To be assigned De Boer, Johannes F. et al., "Review of Polarization Sensitive Optical Coherence Tomography and Stokes Vector Determination," Journal of Biomedical Optics, July 2002, Vol. 7, No. 3, pages 359-371 Fried, Daniel et al., "Imaging Caries Lesions and Lesion Progression with Polarization Sensitive Optical Coherence Tomography," Journal of Biomedical Optics, Vol. 7, No. 4, October 2002, pages 618-627 Jiao, Shuliang et al., "Two-Dimensional Depth-Resolved Mueller Matrix of Biological Tissue Measured with Double-Beam Polarization-Sensitive Optical Coherence Tomography," Optics Letters, Vol. 27, No. 2, January 15, 2002, pages 101-103 Jiao, Shuliang et al., "Jones-Matrix Imaging of Biological Tissues with Quadruple-Channel Optical Coherence Tomography," Journal of Biomedical Optics, Vol. 7, No. 3, July 2002, pages 350-358 Kuranov, R.V. et al., "Complementary Use of Cross-Polarization and Standard OCT for Differential Diagnosis of Pathological Tissues," Optics Express, Vol. 10, No. 15, July 29, 2002, pages 707-713 Cense, Barry et al., "In Vivo Depth-Resolved Birefringence Measurements of the Human Retinal Nerve Fiber Layer by Polarization-Sensitive Optical Coherence Tomography," Optics Letters, Vol. 27, No. 18, September 15, 2002, pages 1610-1612 Ren, Hongwu et al., "Phase-Resolved Functional Optical Coherence Tomography: Simultaneous Imaging of In Situ Tissue Structure, Blood Flow Velocity, Standard Deviation, Birefringence, and Stokes Vectors in Human Skin," Optics Letters, Vol. 27, No. 19, October 1, 2002, pages 1702-1704 Tripathi, Renu et al., "Spectral Shaping for Non-Gaussian Source Spectra in Optical Coherence Tomography," Optics Letters, Vol. 27, No. 6, March 15, 2002, pages 406-408 Yasuno, Y. et al., "Birefringence Imaging of Human Skin by Polarization-Sensitive Spectral Interferometric Optical Coherence Tomography," Optics Letters, Vol. 27, No. 20, October 15, 2002 pages 1803-1805 White, Brian R. et al., "In Vivo Dynamic Human Retinal Blood Flow Imaging Using Ultra-High-Speed Spectral Domain Optical Doppler Tomography," Optics Express, Vol. 11, No. 25, December 15, 2003, pages 3490-3497 De Boer, Johannes F. et al., "Improved Signal-to-Noise Ratio in Spectral-Domain Compared with Time-Domain Optical Coherence Tomography," Optics Letters, Vol. 28, No. 21, November 1, 2003, pages 2067-2069 Examiner Date Considered

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Page 6 of 7 Serial No. Form PTO-1449 U.S. Department of Commerce Atty. Docket No. (REV. 2-82) Patent and Trademark Office 036217/US/2 - 475387-10/551,735 00191 INFORMATION DISCLOSURE STATEMENT BY APPLICANT Applicant(s) (Use several sheets if necessary) Guillermo J. Tearney Filing Date Group September 29, 2005 To be assigned Yun, S.H. et al., "High-Speed Optical Frequency-Domain Imaging," Optics Express, Vol. 11, No. 22, November 3, 2003, pages 2953-2963 Yun, S.H. et al., "High-Speed Spectral-Domain Optical Coherence Tomography at 1.3 µm Wavelength," Optics Express, Vol. 11, No. 26, December 29, 2003, pages 3598-3604 Zhang, Jun et al., "Determination of Birefringence and Absolute Optic Axis Orientation Using Polarization-Sensitive Optical Coherence Tomography with PM Fibers," Optics Express, Vol. 11, No. 24, December 1, 2003, pages 3262-3270 Pircher, Michael et al., "Three Dimensional Polarization Sensitive OCT of Human Skin In Vivo," 2004, Optical Society of America Götzinger, Erich et al., "Measurement and Imaging of Birefringent Properties of the Human Cornea with Phase-Resolved, Polarization-Sensitive Optical Coherence Tomography," Journal of Biomedical Optics, Vol. 9, No. 1, January/February 2004, pages 94-102 Guo, Shuguang et al., "Depth-Resolved Birefringence and Differential Optical Axis Orientation Measurements with Finer-based Polarization-Sensitive Optical Coherence Tomography," Optics Letters, Vol. 29, No. 17, September 1, 2004, pages 2025-2027 Huang, Xiang-Run et al., "Variation of Peripapillary Retinal Nerve Fiber Layer Birefringence in Normal Human Subjects," Investigative Ophthalmology & Visual Science, Vol. 45, No. 9, September 2004, pages 3073-3080 Matcher, Stephen J. et al., "The Collagen Structure of Bovine Intervertebral Disc Studied Using Polarization-Sensitive Optical Coherence Tomography," Physics in Medicine and Biology, 2004, pages 1295-1306 Nassif, Nader et al., "In Vivo Human Retinal Imaging by Ultrahigh-Speed Spectral Domain Optical Coherence Tomography," Optics Letters, Vol. 29, No. 5, March 1, 2004, pages 480-482 Nassif, N.A. et al., "In Vivo High-Resolution Video-Rate Spectral-Domain Optical Coherence Tomography of the Human Retina and Optic Nerve," Optics Express, Vol. 12, No. 3, February 9, 2004, pages 367-376 Park, B. Hyle et al., "Comment on "Optical-Fiber-Based Mueller Optical Coherence Tomography," Optics Letters, Vol. 29, No. 24, December 15, 2004, pages 2873-2874

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## IAP3 Rec'd PCT/PTO 21 NOV 2005

PTO/SB/21 (02-04)

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## Application Number TRANSMITTAL Filing Date **FORM** First Named Inventor Art Unit (to be used for all correspondence after initial filing) To be assigned **Examiner Name** To be assigned Attorney Docket Number 036217/US/2 - 475387-191 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Identify below): Extension of Time Request PTO-1449 form with 71 references and Request for Refund **Express Abandonment Request** Return Receipt Postcard CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm DORSEY & WHITNEY, LLP Gary Abelev, Esq. (Reg No. 40,479) Individual name Signature Date November 17, 2005 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Gary Abelev, Esq. Date November 17, 2005 Signature

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